# GW approximation with LSDA+U method and applications to NiO, MnO, and V<sub>2</sub>O<sub>3</sub>

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A GW approximation (GWA) method named U+GWA is proposed, where we can start GWA with more localized wave functions obtained by the local spin-density approximation (LSDA)+U method. Then GWA and U+GWA are applied to MnO, NiO, and  $V_2O_3$  in antiferromagnetic phase. The band gaps and energy spectra show excellent agreement with the experimentally observed results and are discussed in detail. The calculated width of d bands of  $V_2O_3$  is much narrower than that of the observed one which may be a mixture of  $t_{2g}^2$  multiplet and single-electron  $t_{2g}$  level. GWA or U+GWA does not work also in the paramagnetic phase of  $V_2O_3$  and the reason for this is clarified. The method of the unique choice of on-site Coulomb interaction is discussed in detail. The criterion for whether we should adopt GWA or U+GWA is discussed and is assessed with the help of the off-diagonal elements of the self-energy.

## DOI: 10.1103/PhysRevB.78.155112 PACS number(s): 71.10.-w, 71.15.-m, 71.20.-b

#### I. INTRODUCTION

Electron correlation is important for electronic properties in wide variety of materials, where it causes drastic change in physical properties in strongly correlated electron systems with small change in electron/hole doping or an external field. Standard electronic structure calculations are now based on the density-functional theory (DFT) and have progressed greatly the understanding of electronic structures in condensed matter. The polarization function in DFT is derived by the linear-response theory of homogeneous/ inhomogeneous electron gas, where the electron-electron correlation is calculated with the random-phase approximation (RPA) and effects of static screening are included. However, the results could not be free from self-interaction, especially in the local (spin-)density approximation [L(S)DA]. On the other hand, GW approximation (GWA) does not suffer from the problem of the self-interaction and can include effects of dynamical screening in the framework of manybody perturbation theory.<sup>2</sup> Moreover, one can estimate the screened Coulomb interaction from the first principles. With a recent progress in computational algorithm and computer facilities, GWA can be applied now to realistic materials.

GWA is the first term approximation of the many-body perturbation series and the self-energy is replaced by the lowest-order term of the perturbation expansion. GWA for realistic condensed matter is formulated usually with the LSDA Hamiltonian as an unperturbed one without self-consistent calculation of the one-body Green's function G and the LSDA exchange-correlation potential is subtracted later from the resultant GWA self-energy. The GWA self-energy is then given as

$$\Sigma = iG_0 W,\tag{1}$$

where  $G_0$  is the unperturbed Green's function. W is the dynamically screened Coulomb interaction with the RPA, which is written as

$$W = v + v \chi^0 W, \tag{2}$$

where v is the bare Coulomb interaction and  $\chi^0$  is the lowest-order irreducible polarization function  $\chi^0 = -iG_0G_0$ .

One possible way is that the quasiparticle energy is determined self-consistently in the lowest GWA equation with  $\chi^0$ , which is called the "eigenvalue-only (e-only) self-consistency." In fact, the e-only self-consistent approach may be good enough if LSDA would give reasonably good starting wave functions, though it may not be always the case

The self-consistent calculation of *G* in GWA may be another approach, but that without the vertex correction leads to unphysical structure of spectra, e.g., too wide bandwidth and disappearance of plasmon satellite, and violation of the *f*-sum rule, though it ensures conservation of particle number and energy and then an accurate total energy.<sup>4,5</sup> Other trials of partially self-consistent treatment of GWA, named "the quasiparticle self-consistent" GWA,<sup>6</sup> have been proposed to improve the quasiparticle band structure. The essence of these methodologies is in how to obtain localized wave functions in transition-metal oxides.

Another possibility would be the establishment of a methodology to start with some unperturbed Hamiltonian which gives localized wave functions or correct eigenenergies. In the present work, we propose a methodology of GWA, starting with wave functions of the LSDA+U method, <sup>7,8</sup> in order to have localized wave functions and apply it to several transition-metal oxides, MnO, NiO, and V<sub>2</sub>O<sub>3</sub>. In Sec. II, the present methodology, named U+GWA, is explained briefly. Section III is devoted to the application to antiferromagnetic insulators (AFIs) MnO and NiO. Two systems are very typical: The electronic structure of MnO can be obtained by GWA and, on the contrary, that of NiO by U+GWA. The physical reason for this choice is discussed. The method of unique choice of the on-site Coulomb interaction U is analyzed in detail. We show that the starting wave functions by LSDA are satisfactory in MnO and are largely improved in NiO by LSDA+U with a proper value of U. The present U+GWA is then applied to AFI  $V_2O_3$  in Sec. IV. Summary and conclusion are given in Sec. V.

# II. U+GWA: GW APPROXIMATION STARTING FROM LSDA+U

#### A. U+GWA

We will present here a theoretical method of GWA with wave functions obtained by the LSDA+U method, and we apply this method to NiO, MnO, and V2O3 in Secs. III and IV. Present calculation is based on the linear muffin-tin orbital method with the atomic sphere approximation (LMTO-ASA) (Ref. 10) and also the LSDA+U method with Coulomb interactions of rotational invariance. The LSDA+U method has shown a reasonable success for many d- and f-electron systems in the broad feature of electronic structure. Since the LSDA+U method is a kind of the static limit of GWA, it could be a good starting approximation of GWA.8 The energy gap becomes larger in LSDA+U and the polarization becomes smaller because of the energy denominator in the RPA polarization function. Moreover, wave functions of the LSDA+U method are more localized than those by LSDA because of strong on-site Coulomb interaction and, therefore, can be more preferable for materials with strong electron-electron correlation.

Once we start from the LSDA+U Hamiltonian, the exchange-correlation potential and the Hubbard terms of Coulomb interaction should be subtracted from the GWA self-energy as

$$\Delta \Sigma = \Sigma - V_{\text{LSDA}}^{\text{xc}} - V_{\text{LSDA+II}}^{\text{corr}},\tag{3}$$

where  $V_{\rm LSDA}^{\rm xc}$  is the exchange-correlation potential and  $V_{\rm LSDA+U}^{\rm corr}$  is the potential correction derived from the Hubbard term in the LSDA+U method.<sup>7</sup> This we call LSDA+U +GWA or U+GWA. In the present formulation, once we set U=0 and J=0, the last term in Eq. (3) vanishes and U+GWA is reduced to GWA.

Green's function G is defined as

$$G(E) = \left[E - H_0 - \Delta \Sigma(E)\right]^{-1},\tag{4}$$

and the quasiparticle energy  $E_{{\bf k}n}$  should be calculated by the self-consistent equation (the e-only self-consistency)

$$E_{\mathbf{k}n} = \epsilon_{\mathbf{k}n} + \operatorname{Re} \Delta \Sigma_{\mathbf{k}n} (E_{\mathbf{k}n}), \tag{5}$$

where  $\epsilon_{\mathbf{k}n}$  is the LSDA+U eigenenergy. The self-energy correction in Eq. (5) can be written as  $\Delta\Sigma_{\mathbf{k}n}(E_{\mathbf{k}n}) = \langle \psi_{\mathbf{k}n} | \Sigma(E_{\mathbf{k}n}) - V_{\mathrm{LSDA}}^{\mathrm{xc}} - V_{\mathrm{LSDA+U}}^{\mathrm{corr}} | \psi_{\mathbf{k}n} \rangle$ . We actually carried out energy-only self-consistent calculation to satisfy Eq. (5).

Usually GWA causes an appreciable mixing between the LSDA valence and conduction bands if we include the off-diagonal elements of the self-energy. In the present work, the Coulomb U in the LSDA+U calculation is introduced in order to obtain localized wave functions. The choice of U will be discussed further in Sec. III D. Our criterion for the value U in U+GWA is that the off-diagonal elements of the self-energy become negligibly small, which will be discussed in Sec. III E. The exchange interaction J is set to be the value

of the constrained LSDA calculation.  $^{12}$  If the calculation of Green's function were done self-consistently, the results would not depend on the choice of values of U and J.

#### **B.** Coulomb interactions

The effective Coulomb and exchange integrals between orbitals  $\phi_a$  and  $\phi_b$ , U(a,b) and J(a,b), are represented by the Racah parameters A, B, and C or by the Slater integrals  $F_0$ ,  $F_2$ , and  $F_4$ . The Coulomb and exchange interactions within and between  $t_{1g}$  and  $e_g$  orbitals are as follows in the cubic symmetry:

$$\begin{split} u_{t_{2g}} &= U(\xi,\xi) = U(\eta,\eta) = U(\zeta,\zeta) = u_{e_g} \\ &= U(u,u) = U(v,v) = A + 4B + 3C = F_0 + 4F_2 + 36F_4, \end{split} \tag{6}$$

$$u'_{t_{2g}} = U(\xi, \eta) = U(\eta, \zeta) = U(\zeta, \xi)$$
  
=  $A - 2B + C = F_0 - 2F_2 - 4F_4$ , (7)

$$u'_{e_{\sigma}} = U(u,v) = A - 4B + C = F_0 - 4F_2 + 6F_4,$$
 (8)

$$u'' = \frac{1}{6} \sum_{a \in t_{2g}} \sum_{b \in e_g} U(a, b) = A + C = F_0 - 14F_4, \tag{9}$$

$$j_{t_{2g}} = J(\xi, \eta) = J(\eta, \zeta) = J(\zeta, \xi) = 3B + C = 3F_2 + 20F_4,$$
(10)

$$j_{e_g} = J(u, v) = 4B + C = 4F_2 + 15F_4,$$
 (11)

$$j'' = \frac{1}{6} \sum_{a \in t_{2g}} \sum_{b \in e_g} J(a, b) = 2B + C = 2F_2 + 25F_4, \quad (12)$$

We have an experimentally observed relationship<sup>7</sup>  $F_4/F_2 = 0.63/9 = 0.07$  and the above expressions are rewritten in terms of the Coulomb and exchange parameters U and J with help of the relationships  $U=F_0$  and  $J=\frac{7}{2}(F_2+9F_4)$  as

$$u_{t_{2g}} = u_{e_g} = U + 1.14J, (13)$$

$$u'_{t_{2g}} = U - 0.40J, \tag{14}$$

$$u'_{e_g} = U - 0.63J, (15)$$

$$j_{t_{2g}} = 0.77J, (16)$$

$$j_{e_g} = 0.89J,$$
 (17)

$$u'' = U - 0.17J, (18)$$

$$j'' = 0.66J. (19)$$

These U and J may be the input parameters of LSDA+U method or the output Coulomb and exchange interactions in U+GWA method.

The energy difference in one-electron spectra between an electron affinity level  $\Gamma_a$  and an electron ionization level  $\Gamma_i$  is evaluated as

$$\begin{aligned} \{E_T(\Gamma_a) - E_T(G)\} - \{E_T(G) - E_T(\Gamma_i)\} \\ &= \widetilde{E}_G + \{E_C(\Gamma_a) - E_C(G)\} - \{E_C(G) - E_C(\Gamma_i)\}, \end{aligned} (20)$$

where the total and Coulomb energies of a multiplet  $\Gamma$  are written as  $E_T(\Gamma)$  and  $E_C(\Gamma)$ , respectively,  $E_T(G)$  is the total energy of the ground state, and  $\tilde{E}_G$  is the one-electron energy gap without the Coulomb interactions, e.g., a hybridization gap etc. In order to evaluate the Coulomb and exchange interactions, we will assume, in Secs. III and IV, the form

$$\frac{1}{2} \sum_{\{m\}\sigma} \left[ U(m,m') n_m^{\sigma} n_{m'}^{-\sigma} + \{ U(m,m') - J(m,m') \} n_m^{\sigma} n_{m'}^{\sigma} \right], \tag{21}$$

where  $n_m^{\sigma}$  is the electron occupation. We will analyze the calculated energy spectra by using these formulas and show that the  $\tilde{E}_G$  vanishes in all these materials MnO, NiO, and also  $V_2O_3$ .

# III. ELECTRONIC STRUCTURE OF NIO AND MnO BY GWA AND U+GWA

#### A. Details of calculations

We use  $4\times4\times2$  **k**-point mesh in the Brillouin zone of MnO and NiO. The set of the maximum orbital angular momentum of the LMTO basis in Mn, Ni, O, and empty spheres are chosen to be (ffdp). In the calculation of the self-energy, the product basis scheme is used, <sup>13,14</sup> and the maximum total angular momentum of the product bases is set to be (ffdp) for the calculation of the correlation part  $\Sigma^c$  of the self-energy. This choice reduces the number of the product bases used in  $\Sigma^c$  from 2376 to 360. The e-only self-consistency is achieved with the iterative procedure of five or ten times.

# B. Electronic structure of antiferromagnetic MnO by GWA

The LSDA band gap of antiferromagnetic MnO is 0.80 eV in contrast with the observed one of 3.6–3.8 eV. The observed energy interval between the principal peaks of x-ray photoemission spectroscopy (XPS) and bremsstrahlung isochromat spectroscopy (BIS) is approximately 9.5 eV and corresponds to

$$\begin{aligned} &\{E_{T}[(t_{2g}^{\uparrow})^{3}(e_{g}^{\uparrow})^{2}t_{2g}^{\downarrow}] - E_{T}[(t_{2g}^{\uparrow})^{3}(e_{g}^{\uparrow})^{2}]\} \\ &- \{E_{T}[(t_{2g}^{\uparrow})^{3}(e_{g}^{\uparrow})^{2}] - E_{T}[(t_{2g}^{\uparrow})^{2}(e_{g}^{\uparrow})^{2}]\} \\ &= \widetilde{E}_{G:\text{MnO}} + u_{t_{2g}} + 2j_{t_{2g}} + 2j'' \\ &= \widetilde{E}_{G:\text{MnO}} + U + 2.57J, \end{aligned} \tag{22}$$

where  $\widetilde{E}_{G:MnO}$  is the hybridization gap in MnO.

The spin polarization in d orbital is almost complete and the calculated numbers of d electrons of majority and minority spins are 4.73 and 0.42, respectively. Since the d-d tran-

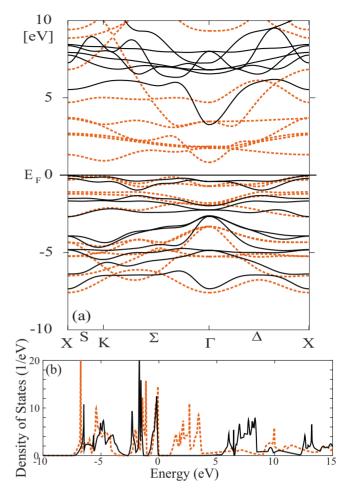


FIG. 1. (Color online) (a) Quasiparticle energy bands along symmetry lines  $[\Gamma=(0,0,0),\ X=\frac{2\pi}{a}(1,0,0),\ K=\frac{2\pi}{a}(\frac{3}{4},\frac{3}{4},0),$  and point  $\frac{2\pi}{a}(1,1,0)$  equivalent to X point] and (b) quasiparticle density of states of antiferromagnetic MnO. Solid and broken lines refer to those by GWA and those by LSDA. The energy zeroth is fixed at the top of the valence band.

sition for the RPA polarization should be small because of almost filled d band of the majority spin and empty d band of the minority spin. Once we adopt GWA for MnO, the screening effect on the Coulomb interaction is small and the Coulomb interaction cannot be largely screened. Therefore, it is expected that the energy gap should be open appreciably in MnO with GWA.

In Fig. 1, the quasiparticle band structure by GWA with the e-only self-consistency is depicted along the [110] direction from  $\Gamma$  point ( $\Sigma$  and S lines) and [100] direction ( $\Delta$  line). It must be noticed that the point  $\frac{2\pi}{a}(1,1,0)$  along the extended direction of  $\Sigma$  line (1,1,0) is equivalent to the X point  $\frac{2\pi}{a}(1,0,0)$ . The corresponding quasiparticle density of states (DOS) is shown in Fig. 1(b), compared with DOS by LSDA.

In fact, GWA starting from LSDA gives rise to the band gaps of 3.05 eV (indirect gap) and 3.51 eV (direct gap), in good agreement with the experimentally observed one,  $E_G \approx 3.6-3.8$  eV. The magnetic moment is evaluated to be  $M = 4.33\mu_B$ , comparable to the observed one, M = 4.6-4.9  $\mu_B$ . Therefore, we believe that our expected mechanism for less

TABLE I. The input Coulomb and exchange interaction parameters, U (eV) and J (eV), static limit of screened Coulomb interaction W(0) (eV), direct band gap  $E_{G:d}$  (eV), indirect band gap  $E_{G:d}$  (eV), and the spin magnetic moment  $M(\mu_B)$  for MnO and NiO. The value of W(0) depends on the orbital components and its averaged one is shown here. The calculated direct and indirect band gaps are estimated from the calculated quasiparticle energy bands. The spherical average values of the bare Coulomb interaction  $\langle v \rangle$  are 23.6 eV in MnO and 27.9 eV in NiO. We have found two U+GWA solutions in NiO with U=1 and 2.0 eV. We believe that the solutions connecting to the ones of  $U \ge 2.5$  eV continuously are correct. See the text in Sec. III D.

		MnO					NiO						
	U	J	W(0)	$E_{G:d}$	$E_{G:id}$	M	$\overline{U}$	J	W(0)	$E_{G:d}$	$E_{G:id}$	M	
LSDA			4.50	0.80	0.80	4.33			1.72	0.49	0.11	1.01	
LSDA+U	1.0	0.86	4.67	0.91	0.87	4.35	2.5	0.95	4.14	1.79	1.43	1.52	
GWA			7.07	3.51	3.05	4.33			1.51	0.38	0.21	1.13	
U+GWA	1.0	0.86	7.24	3.58	3.10	4.35	1.0	0.95	4.43/2.38	3.52/0.74	3.05/0.54	1.34/1.34	
	2.0	0.86	8.15	3.96	3.42	4.45	2.0	0.95	5.54/3.37	4.10/1.29	3.47/1.17	1.44/1.44	
							2.5	0.95	6.03	3.97	3.46	1.46	
	4.0	0.86	9.39	4.76	4.18	4.58	4.0	0.95	7.25	4.16	3.99	1.71	
	7.5	0.86	10.39	4.95	4.29	4.71	7.5	0.95	9.43	5.48	4.78	1.71	
Constrained LSDA <sup>a</sup>	6.9	0.86					8.0	0.95					
Expt.				$3.6 - 3.8^{b}$	4.58,	4.79 <sup>c</sup>				4.0,4.3 <sup>d</sup>		1.64,1.77,1.9°, e	

<sup>&</sup>lt;sup>a</sup>Reference 17.

screened Coulomb interaction is the case in MnO. The U +GWA is also applied to AFI MnO with the e-only self-consistency as summarized in Table I in the range of U = 0-2 eV and J = 0.86 eV and the spectra and the quasiparticle band structure are much the same as those of GWA. We will discuss, in Secs. III D and III E, the choice of U value and the starting wave functions in GWA or U+GWA of MnO.

Figure 2 depicts the imaginary part of Green's function  $(1/\pi)|\text{Im }G(E)|$  by GWA. The highest occupied band is the one with strongly hybridization between O p and Mn d ( $e_g$ ) states of majority spin and the lowest unoccupied one is mainly Mn d ( $t_{2g}$ ) states of minority spin. This fact tells that little d-d transition does contribute to the screening phenomena and also that MnO is just in the midway between the charge-transfer-type and Mott-Hubbard-type insulators. The static limit of the screened Coulomb interaction W(0) is calculated to be 7.07 eV, which means that the screened effect is small. We can conclude that GWA can reproduce the energy spectrum of AFI MnO satisfactorily since little d-d transition of the polarization can participate in the screening phenomena

### C. Electronic structure of antiferromagnetic NiO by U+GWA

NiO is one of materials where the LSDA wave functions may be extended in space. <sup>16</sup> The LSDA spectrum shows that the majority-spin d bands are almost full and the minority ones are half full and that the band gap  $E_G$  is 0.11 eV (indirect gap) and 0.49 eV (direct gap) in contrast with the experimentally observed one of about 4.0–4.3 eV.

The observed energy interval between the principal peaks of XPS and BIS is approximately 6–6.2 eV and corresponds to

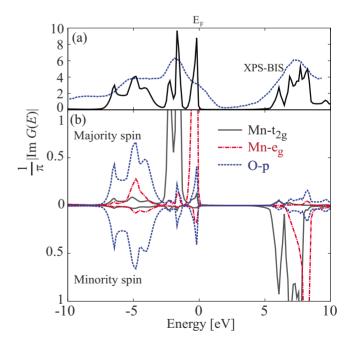


FIG. 2. (Color online) Imaginary part of Green's functions  $(1/\pi)|\text{Im }G(E)|$  of antiferromagnetic MnO by GWA. (a) The total Green's function (solid curve) and experimental XPS and BIS spectra (dotted line) (Ref. 15). (b) The partial Green's function per atom. The energy zeroth is fixed at the top of the valence band.

<sup>&</sup>lt;sup>b</sup>Reference 29.

<sup>&</sup>lt;sup>c</sup>Reference 30.

<sup>&</sup>lt;sup>d</sup>Reference 31.

eReference 32.

$$\begin{split} &\{E_{T}[(t_{2g}^{\uparrow})^{3}(t_{2g}^{\downarrow})^{3}(e_{g}^{\uparrow})^{2}e_{g}^{\downarrow}] - E_{T}[(t_{2g}^{\uparrow})^{3}(t_{2g}^{\downarrow})^{3}(e_{g}^{\uparrow})^{2}]\} \\ &- \{E_{T}[(t_{2g}^{\uparrow})^{3}(t_{2g}^{\downarrow})^{3}(e_{g}^{\uparrow})^{2}] - E_{T}[(t_{2g}^{\uparrow})^{3}(t_{2g}^{\downarrow})^{3}e_{g}^{\uparrow}]\} \\ &= \widetilde{E}_{G:\text{NiO}} + u_{e_{g}}' + j_{e_{g}} = \widetilde{E}_{G:\text{NiO}} + U + 0.26J, \end{split} \tag{23}$$

where  $\tilde{E}_{G:\text{NiO}}$  is the hybridization gap in NiO.

GWA starting from LSDA gives rise to the band gap of  $E_G \approx 0.21$  eV and the screened Coulomb interaction is estimated as W(0) = 1.51 eV, which does not agree with the experimental results. This is because the polarization function is too large due to small energy denominator of the RPA polarization function. We would expect a substantial change in wave functions and the polarization function led by an increase in the band gap once we use GWA procedure under the condition of opening the gap. <sup>16</sup> The LSDA+U method gives better spectrum in NiO. <sup>17,18</sup>

U+GWA is applied to AFI NiO in the present work with the e-only self-consistency and part of the results is shown in Table I, compared with those by LSDA, LSDA+U, GWA, and experiments. In fact, we have used two initial guesses of eigenenergies for the e-only self-consistent calculation for U=1.0 eV and U=2.0 eV; one starts from the LSDA+U eigenenergies and the other is from the LSDA+U eigenenergies plus some additional shift. Then we found different converged solutions for respective initial guesses. The cases U=0 and  $U\geq 2.5$  eV give unique solutions in two starting eigenvalues. The calculated values of these solutions are summarized in Table I. We believe that the results connecting continuously to solutions of  $U\geq 2.5$  eV should be the correct ones and the other ones must be artifact.

The calculation has been carried out in the range of values of U=0-8.0 eV and J=0.95 eV (the value by the constrained LDA). The calculated peak position (at about 5 eV) of unoccupied bands with U=2.5 eV is in excellent agreement with experiments. The off-diagonal elements of the self-energy are extremely reduced at U=2.5 eV. Then, we adopt the case of U=2.5 eV as appropriate choice. This choice will be discussed in more details in Sec. III D. The band gaps are 3.97 eV (direct) and 3.46 V (indirect) and the magnetic moment M=1.46 $\mu_B$  are in good agreement with experimental ones as shown in Table I. The static limit of the screened Coulomb interaction W(0) is 6.03 eV.

In Fig. 3, the quasiparticle band structure by U+GWA with U=2.5 eV is depicted along the [110] and [100] symmetry lines, compared with the angle-resolved photoemission spectroscopy data, <sup>19</sup> and this shows excellent agreement between them. The d-band width in Fig. 3(a) (the energy width of upper occupied eight bands) becomes wider than that of LSDA (but does not change much from that of LSDA+U), since the occupied O p bands come upward and the hybridization mixing between Ni d and O p becomes larger. It should be noted that the Ni 4s band comes down slightly below the flat unoccupied Ni 3d band. The corresponding quasiparticle DOS is shown in Fig. 3(b), compared with DOS by LSDA+U.

Figure 4 shows the imaginary part of Green's function  $(1/\pi)|\text{Im }G(E)|$  by U+GWA with  $U=2.5\,$  eV, with the photoemission and inverse photoemission spectra. <sup>18</sup> The most

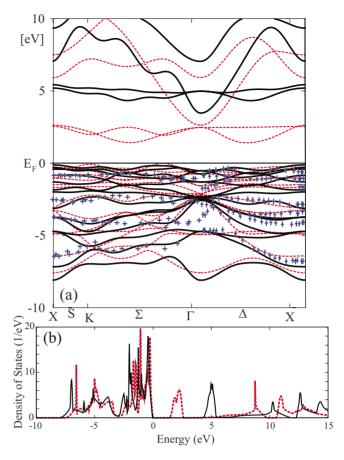


FIG. 3. (Color online) (a) Quasiparticle energy bands and (b) quasiparticle density of states of antiferromagnetic NiO. Symmetry points and lines are the same as those in Fig. 1. Solid and broken lines refer to those by U+GWA and LSDA+U with U=2.5 eV and J=0.95 eV, respectively. In (a), the cross marks refer to the result by the angle-resolved photoemission spectra (Ref. 19). The energy zeroth is fixed at the top of the valence band.

striking feature of  $\frac{1}{\pi}|\text{Im }G|$  is the bandwidth and the intensity distribution in the spectra; e.g., the weight of the spectrum is shifted to the upper region of the occupied bands. The weight of O p orbitals in the highest occupied bands increases due to the stronger hybridization between O p and Ni d states and the lowest unoccupied ones are mainly Ni d states. This fact is in good agreement with observed one and NiO is of the charge-transfer type.  $^{20}$ 

The screened Coulomb interaction can be calculated in GWA and U+GWA as shown in Table I. The screening effects are strong in the energy region  $0 < E < 20\,$  eV in GWA since all d electrons participate in the screening phenomena. The U+GWA can give much larger W(0) and reproduce the energy spectrum of antiferromagnetic NiO very satisfactorily.

### D. Unique choice of U in U+GWA in MnO and NiO

We discuss, in this subsection, the U dependence of the static screened Coulomb interaction W(0) and explain a unique choice of U values in MnO and NiO. The irreducible polarization function in LSDA+U method may be expressed approximately in the static limit as

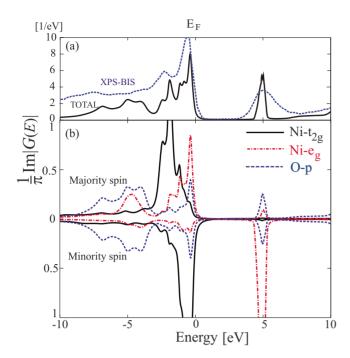


FIG. 4. (Color online) Imaginary part of Green's functions  $(1/\pi)|\text{Im }G(E)|$  of antiferromagnetic NiO by U+GWA with U=2.5 eV and J=0.95 eV. (a) The total Green's function (solid line) and experimental XPS and BIS spectra (dotted line) (Ref. 18). (b) The partial Green's function per atom. The energy zeroth is fixed at the top of the valence band.

$$\chi_U^{0:\text{LSDA+U}} = -\frac{b^2}{\langle E_G^{\text{LDA}} \rangle + U},\tag{24}$$

where  $\langle E_G^{\rm LDA} \rangle$  is the effective band gap of the transition-metal d bands of the minority spin by LSDA, and  $b^2$  is a numerical factor of order 1. The static screened Coulomb interaction  $W_U$  is then rewritten as

$$W_{U}^{\text{LSDA+U}} = W_{U=0}^{\text{LSDA}} \frac{1 + \frac{U}{\langle E_{G}^{\text{LDA}} \rangle}}{1 + \frac{U}{\langle E_{G}^{\text{LDA}} \rangle} \frac{W_{U=0}^{\text{LSDA}}}{\langle v \rangle}}, \tag{25}$$

with the spherical average of matrix elements of the bare Coulomb interaction  $\langle v \rangle$  and  $W_{U=0}^{\rm LSDA} = \langle v \rangle/(1-\chi_{U=0}^{0:\rm LSDA+U} \langle v \rangle)$ . We define the effective band gaps  $\langle E_G^{\rm LDA} \rangle$  to be 3.7 eV for MnO and 1.4 eV for NiO from the partial density of states of the quasiparticle bands. We also estimate the  $b^2$  values as 0.665 for MnO and 0.764 for NiO so that  $W_{U=0}^{\rm LSDA}$  equals to the values of static screened Coulomb interaction by LSDA.

The  $W_U$  values by LSDA+U and U+GWA methods are shown in Fig. 5. Equation (25) can represent very nicely the values of  $W_U$  by LSDA+U in both MnO and NiO. In the MnO case, the values of LSDA+U method are shifted in the whole U range of U+GWA and continuously vary. In NiO, on the contrary, there are two solutions at U=1 and 2 eV, and then they vary smoothly.

To understand the static screening Coulomb interaction of the U+GWA method  $W_U^{\rm U+GWA}$ , we can establish a similar

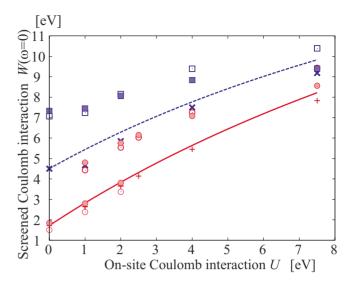


FIG. 5. (Color online) U dependence of the static screened Coulomb interaction  $W_U$ .  $\times$ : LSDA+U; open square  $\square$ : U+GWA; broken line: Eq. (25); and closed square: Eq. (27) for MnO. +: LSDA+U; open circle  $\bigcirc$ : U+GWA; solid line: Eq. (25); closed circle: Eq. (27) for NiO. See Sec. III C for the explanation on the two solutions of U+GWA ( $\bigcirc$ ) at U=1 and U=2 in NiO.

model of the irreducible polarization of Eq. (24) as

$$\chi_U^{0:\text{U+GWA}} = -\frac{b^2}{\langle \tilde{E}_G \rangle + W_U^{\text{U+GWA}}},$$
 (26)

where  $\langle \widetilde{E}_G \rangle$  is the hybridization gap appearing in Eqs. (20), (22), and (23) and  $b^2$  is the coefficient already determined in Eq. (24). Then the static screened Coulomb interaction may be expressed as

$$\widetilde{W}_{U}^{\text{U+GWA}} = \frac{\langle v \rangle}{1 - \chi_{U}^{0:\text{U+GWA}} \langle v \rangle}.$$
 (27)

The right-hand side of Eq. (27) is plotted in Fig. 5 by substituting the calculated static screened constant W(0) by U+GWA in the expression  $\chi_U^{0:\text{U}+\text{GWA}}$  with  $\langle \tilde{E}_G \rangle = 0$  and shows excellent agreement between W(0) by U+GWA and  $\tilde{W}_U^{\text{U}+\text{GWA}}$ . Of course, though we cannot use Eq. (27) to determine W(0) or input U value, this agreement implies that our treatment is consistent and, furthermore, the hybridization gap should be zero in both MnO and NiO.

Though we cannot determine the value of U only in the framework of U+GWA, we can do with a help of experimental spectra. We replace U in Eqs. (22) and (23) with  $U_{\text{U+GWA}} = W(0)$  and we know now the values of the hybridization gap  $\widetilde{E}_G$  equal to zero. With the help of the J value of the constrained LSDA calculation  $J_{\text{U+GWA}}$ , we have  $W(0) + 2.57J_{\text{U+GWA}} = W(0) + 2.21 \approx 9.5$ , i.e., W(0) = 7.29 eV for MnO and  $W(0) + 0.26J_{\text{U+GWA}} = W(0) + 0.25 \approx 6.2$ , i.e., W(0) = 5.95 eV for NiO. This is perfectly consistent with the results obtained by the choices of U = 0 eV for MnO and U = 2.5 eV for NiO in U+GWA calculation.

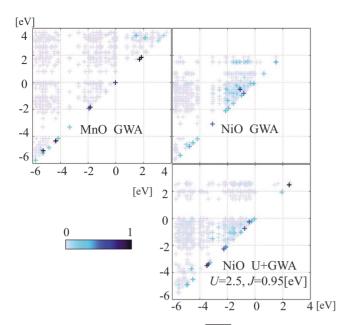


FIG. 6. (Color online) The value  $(\sqrt{x^2+1}-x)^2$  is shown, whose definition should be referred to in the text and Eq. (28). The vertical and horizontal axes are the LSDA eigenenergies for GWA or LSDA+U eigenenergies (U+GWA)  $\epsilon_{kn}$  and  $\epsilon_{kn'}$ . The energy zeroth is set at the Fermi energy. The area having larger values in GWA disappears in U+GWA of NiO and no area having larger values exists in GWA of MnO.

## E. Legitimacy of U+GWA

We have shown the calculated results of AFI MnO and NiO based on GWA and U+GWA, respectively. These two systems correspond to two different situations:

- (1) The case (MnO) where the *d-d* transition contributing to the RPA polarization is small. The screening effect in GWA of the Coulomb interaction is relatively small and the screened Coulomb interaction is not reduced. In this case, large improvement can be expected in GWA calculation.
- (2) The case (NiO) where the *d-d* transition contributing to the RPA polarization is large. The screening effect of the Coulomb interaction is large and the screened Coulomb interaction is reduced largely in GWA. In this case, large improvement could not be expected in GWA calculation and we should use U+GWA in order to make the energy gap open.

Here we show how LSDA+U method improves the wave functions and polarization in NiO and how LSDA method provides reasonable results in MnO. We evaluate the mixing amplitude of the adopted wave functions by the off-diagonal element of the self-energy. With the off-diagonal matrix element of the self-energy  $\Delta\Sigma_{knn'}$ , the two components of bands n and n' at  $\mathbf{k}$  (the LSDA or LSDA+U eigenenergies  $\epsilon_{kn}$  and  $\epsilon_{kn'}$ ) are mixed with a ratio of  $(\sqrt{x^2+1}-x)^2:1,^{11}$  where

$$x = \left| \frac{\epsilon_{\mathbf{k}n} + \Delta \Sigma_{\mathbf{k}n}(\epsilon_{\mathbf{k}n}) - \epsilon_{\mathbf{k}n'} - \Delta \Sigma_{\mathbf{k}n'}(\epsilon_{\mathbf{k}n'})}{2\Delta \Sigma_{\mathbf{k}nn'}(\{\epsilon_{\mathbf{k}n} + \epsilon_{\mathbf{k}n'}\}/2)} \right|. \tag{28}$$

When this ratio is small (x is much larger than the unity), the resultant mixing is negligible.

The values of this ratio for all pairs of bands ( $\{kn\}$  and  $\{kn'\}$ ) are shown in Fig. 6. One can see in NiO that the area

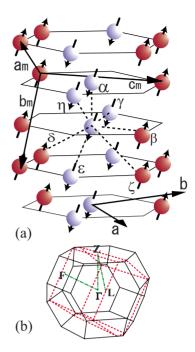


FIG. 7. (Color online) (a) Spin structure of low-temperature antiferromagnetic insulator phase of  $V_2O_3$ . Arrows stand for the spin directions of V ions. The Greek letters  $\alpha$ ,  $\beta$ ,  $\gamma$ , and  $\delta$  denote the first-, second-, third-, and fourth-nearest-neighbor V-V pairs, respectively. The vectors  $\mathbf{a}$ ,  $\mathbf{b}$ , and their perpendicular vector  $\mathbf{c}$  are the primitive vectors of the hexagonal unit cell, and the vectors  $\mathbf{a}_m$ ,  $\mathbf{b}_m$ , and  $\mathbf{c}_m$  are those of the monoclinic cell of antiferromagnetic phase. (b) The Brillouin zone of the paramagnetic (solid lines) and antiferromagnetic (broken lines) phases of the corundum structure. The band structure in Fig. 8 is shown along the symmetry lines indicated by dot-dashed lines. The  $\mathbf{k}$  points L, Z, and F are on the Brillouin zone of paramagnetic phase and F/2 on that of antiferromagnetic phase.

with larger ratio in GWA disappear in U+GWA of *U* = 2.5 eV. On the other hand, in MnO, no area with larger ratio exists in GWA. Therefore, in MnO, GWA does not promote a large mixing between orbitals contributing to valence and conduction bands. On the contrary, in NiO, the U+GWA improves the starting wave functions and large off-diagonal elements of the self-energy disappear between orbitals contributing to valence and conduction bands.

## IV. ELECTRONIC STRUCTURE OF V2O3 BY U+GWA

The antiferromagnetic  $V_2O_3$  is the other example where a strong on-site Coulomb interaction plays a crucial role in the electronic structure. The crystal structure in the low-temperature AFI phase is monoclinic and that in paramagnetic metallic (PM) phase above 150 K is corundum structure. The spin structure in AFI is depicted in Fig. 7(a), where each  $V^{3+}$  ion has one spin-parallel [ $\gamma$  in Fig. 7(a)] and two spin-antiparallel neighbors ( $\beta$ ) in the same layer perpendicular to the c axis and one spin-parallel neighbor ( $\alpha$ ) in a different layer. We can have another view that  $V^{3+}$  ions on one layer parallel to the c axis are all ferromagnetically aligned and the interlayer coupling is antiferromagnetic. The

spin and orbital magnetic moments are observed to be  $\langle 2S \rangle = 1.7 \mu_B$  and  $\langle L \rangle = -0.5 \mu_B$  and the total spin is supposed to be  $S=1.^{21}$  The observed band gap is  $E_G=0.35-0.66$  eV. Under the trigonal symmetry around V ions, V  $d_{t_{2g}}$  evel is split into nondegenerate  $a_{1g}$  and doubly degenerate  $e_g^{\pi}$  levels.

Mott pointed out that the metal-to-insulator (MI) transition in V<sub>2</sub>O<sub>3</sub> at about 150 K is due to the electron-electron correlations. The LSDA calculation shows that the  $a_{1g}$ states split into bonding and antibonding and that the lowest V d state is  $a_{1g}$  bonding state.<sup>23</sup> Then it was proposed that two  $a_{1g}$  electrons on a pair of  $V^{3+}$  ions formed a spin singlet and the remaining  $e_g^{\pi}$  electrons result in a Mott-Hubbard model of an  $S = \frac{1}{2}$  spin on each  $V^{3+}$  ion.<sup>24</sup> Ezhov *et al.*<sup>25</sup> studied its electronic structure by using the LSDA+U method with U=2.8 eV and J=0.93 eV, where the lowest V d state is  $e_g^{\pi}$  and the  $a_{1g}$  is the next lowest. They showed that the orbital occupation is predominantly  $e_{\varrho}^{\pi}e_{\varrho}^{\pi}$  (a spin S =1) with a small fraction of  $a_{1g}e_g^{\pi}$ , and the band gap  $E_G$ =0.6 eV in antiferromagnetic phase. The estimated values for the on-site Coulomb and exchange interactions, U and J, are 2.8 and 0.93 eV, respectively. In the paramagnetic case, the electronic structures by LDA+U calculation is quite different from that in the antiferromagnetic case, e.g., metallic and large bonding-antibonding splitting of  $a_{1g}$  orbital which causes the majority configuration to be  $a_{1g}e_{g}^{\pi}$ .

LDA+DMFT (dynamical mean field theory) calculation in paramagnetic phase using the Wannier-type orbitals based on NMTO (N-th order muffin-tin orbital method) formalism<sup>26</sup> gives very comprehensive physical picture of  $V_2O_3$  with U=4.2 eV, J=0.7 eV, and U'=U-2J=2.8 eV, which presents a narrow resonance peak of a width of 0.5 eV and a broad lower Hubbard band.<sup>27</sup> A crystal-field splitting between  $a_{1g}$  and  $e_g^{\pi}$  is enhanced by the Coulomb interaction, then  $a_{1g}$  bands locates in the large Hubbard gap of  $e_g^{\pi}$  orbitals and the insulating gap is between the lower  $e_g^{\pi}$  Hubbard band and  $a_{1g}$  band. Therefore, the insulating gap in the paramagnetic phase is the crystal-field gap but presumably not the Hubbard gap.

## A. Results for antiferromagnetic V2O3 by U+GWA

We use  $4\times4\times4$  **k**-point mesh in the Brillouin zone of  $V_2O_3$ . The set of maximum angular momentum of the LMTO basis in V, O, and two different kinds of empty spheres are chosen to be (fdds). Those of product basis are (fdds), too, for the calculation of  $\Sigma^c$ . The number of product bases used in  $\Sigma^c$  is reduced from 10 728 to 1584. The two crystal structures, monoclinic and corundum structures, do not cause any significant difference in the electronic structures in LSDA and LSDA+U calculations for both paramagnetic and antiferromagnetic phases. Then, we use the corundum structure throughout the present work.

The GWA results of  $V_2O_3$ , starting from LSDA, give rise to a metallic antiferromagnetic ground state, completely different from the experimental situation. Both in LSDA and in LSDA+U, the d bands of majority spin are partially filled and those of minority spin are empty. Though the screening effects in GWA must be large, the polarization function is too large due to small energy denominator, similar to NiO.

TABLE II. The Coulomb and exchange interactions, U (eV) and J (eV), static limit of screened Coulomb interaction W(0) (eV), direct band gap  $E_{G:d}$  (eV), indirect band gap  $E_{G:id}$  (eV), and the spin magnetic moment  $M(\mu_B)$  for  $V_2O_3$ . The value of W(0) depends on the orbital components and its averaged one is shown here. The calculated direct and indirect band gaps are estimated from the calculated quasiparticle energy.

$V_2O_3$											
	U	J	W(0)	$E_{G:d}$	$E_{G:id}$	M					
LSDA				(Metal)	(Metal)	1.40					
LSDA+U	2.6	0.9	2.62	0.279	0.109	1.58					
GWA				(Metal)	(Metal)	1.33					
U+GWA	2.6	0.9	3.21	0.943	0.835	1.93					
Constrained LSDA <sup>a</sup>	2.8	0.9									
Expt.				0.35,	0.35, 0.66 <sup>b</sup>						

<sup>&</sup>lt;sup>a</sup>Reference 33.

Therefore, we start calculations with LSDA+U to prepare improved wave functions and polarization function and adopt U+GWA with the e-only self-consistency. The parameters U and J are set as 2.6 and 0.9 eV, respectively. The value of U=2.55 eV may be the lower limit for opening the band gap in LSDA+U and one would get the metallic antiferromagnetic ground state for U  $\leq$  2.55 eV. The parameters and calculated results are tabulated in Table II. The Brillouin zones of the paramagnetic and antiferromagnetic phases of corundum structure are shown in Fig. 7(b).

Figure 8(a) depicts the quasiparticle band structure by U +GWA with U=2.6 eV and J=0.9 eV. The **k**-point F locates on the surface of the Brillouin zone of paramagnetic phase and the midpoint between F and  $\Gamma$  is denoted as F/2 locating on that of antiferromagnetic phase. The oxygen p bands with a broad width of 3.7 eV locate at 6 eV below the Fermi energy, which are not shown here. The d-band width becomes narrower due to the formation of a large energy gap. The corresponding quasiparticle DOS is shown in Fig. 8(b), compared with DOS by LSDA+U. The  $e_g^\pi$  bands have a large exchange splitting, that of majority spin is almost fully occupied, and that of minority spin is empty. Furthermore, the  $a_{1g}$  band of majority spin locates in the gap between  $e_g^\pi$  majority- and  $e_g^\pi$  minority-spin bands.

The total Green's function  $\frac{1}{\pi}|\text{Im }G|$  is compared with the observed XPS spectra in Fig. 9(a). The position and width of O p bands are well reproduced in the calculation but the width of the occupied V d bands is too narrow. Figure 9(b) shows the imaginary part of the partial Green's function. The valence band just below the Fermi energy is mostly of  $e_g^{\pi}$  with small mixing of  $a_{1g}$  orbitals and the lowest conduction band is of  $a_{1g}$  character. The ground-state configuration is then  $e_g^{\pi\sigma}e_g^{\pi\sigma}$  of majority spins  $\sigma$  and the  $e_g^{\pi-\sigma}$  band of minority spin  $-\sigma$  locates at higher energies by about 3.5 eV. The unoccupied  $a_{1g}$  band of majority spin is lifted up at the midway between majority- and minority-spin  $e_g^{\pi}$  bands. With an assumption of vanishing hybridization gap, the energy difference between main peaks of occupied  $e_g^{\pi}$  and unoccupied  $a_{1g}$ 

<sup>&</sup>lt;sup>b</sup>Reference 34.

<sup>&</sup>lt;sup>c</sup>Reference 21.

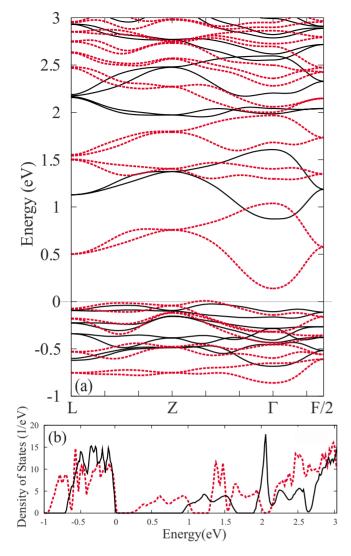


FIG. 8. (Color online) (a) Quasiparticle energy bands and (b) the density of states of antiferromagnetic  $V_2O_3$ . Solid and broken lines refer to those by U+GWA and LSDA+U with U=2.6 eV and J=0.9 eV. The energy zeroth is fixed at the top of the valence band.

of parallel spins is estimated, replacing U with  $U_{\rm U+GWA}=W(0)$  and J with  $J_{\rm U+GWA}$ , as

$$\begin{split} &\{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}a_{1g}^{\uparrow}) - E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow})\} - \{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}) - E_C(e_g^{\pi\uparrow})\} \\ &= 2(u_{t_{2g}}' - j_{t_{2g}}) - (u_{t_{2g}}' - j_{t_{2g}}) \\ &= W(0) - 1.17J_{\text{U+GWA}} \simeq 2.16 \text{ eV}, \end{split} \tag{29}$$

by using the values used in the U+GWA output,  $U_{\text{U+GWA}} = W(0) = 3.21$  eV and  $J_{\text{U+GWA}} = 0.9$  eV. This result of the energy difference is in good agreement with the calculated one of about 1.5–2 eV. We should note that the gap between majority-spin  $e_g^{\pi}$  band and minority-spin  $e_g^{\pi}$  band is not the Hund splitting but is given by the difference in the Coulomb interaction (the Coulomb gap):

$$\begin{split} \{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}e_g^{\pi\downarrow}) - E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow})\} - \{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}) - E_C(e_g^{\pi\uparrow})\} \\ = (u_{t_{2g}} + u'_{t_{2g}}) - (u'_{t_{2g}} - j_{t_{2g}}) \\ = W(0) + 1.91J_{\text{II+GWA}} \approx 4.93 \text{ eV}, \end{split} \tag{30}$$

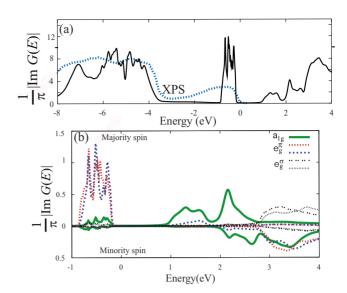


FIG. 9. (Color online) Imaginary part of the partial Green's functions of antiferromagnetic V<sub>2</sub>O<sub>3</sub> by U+GWA with U =2.6 eV and J=0.9 eV. (a) The total Green's function (solid line) and experimental XPS spectrum (dotted line) (Ref. 28). (b) The partial Green's function per atom. The energy zeroth is fixed at the top of the valence band.  $a_{1g}$  and  $e_g^\pi$  originate from  $d_{t_{1g}}$  orbitals in the cubic symmetry and  $e_g^\sigma$  originates from  $d_{e_g}$  orbitals.

which can nicely explain the spectrum in Fig. 9. The position of the minority-spin  $a_{1g}$  band locates below that of the minority-spin  $e_{\sigma}^{\pi}$  band which is estimated as

$$\begin{split} \{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}a_{1g}^{\pi\downarrow}) - E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow})\} - \{E_C(e_g^{\pi\uparrow}e_g^{\pi\uparrow}) - E_C(e_g^{\pi\uparrow})\} \\ = (u'_{t_{2g}} + u'_{t_{2g}}) - (u'_{t_{2g}} - j_{t_{2g}}) \\ = W(0) + 0.37J_{\text{II+GWA}} &\simeq 3.54 \text{ eV}. \end{split} \tag{31}$$

The observed V 3d spectrum in paramagnetic metallic phase shows a two-peak structure. <sup>28</sup> The prominent peak at  $E_F$  of the width of 0.5 eV in metallic phase disappears in insulator phase, corresponding to opening the gap, and the broader peak with a width of 2 eV does not change much among antiferromagnetic and paramagnetic insulator phases. The observed V d bands in insulator phases are the lower Hubbard band and may correspond to the occupied U +GWA bands. But the present calculated one has a significantly narrower width of about 0.7 eV. In fact, the  $t_{1g}$  single-electron level locates at  $u'_{t_{2g}} - j_{t_{2g}} = W(0) - 1.17 J_{\text{U+GWA}} \approx 2.16$  eV below the lowest  $t_{2g}^2$  level and would appear between the calculated  $e_g^\pi e_g^\pi$  and O 2p bands. Therefore, we believe that the observed broad occupied V d bands originate from the mixture of  $e_g^\pi e_g^\pi$  and  $e_g^\pi$  states. The screened Coulomb interaction can be calculated in GWA and U+GWA.

Exchange interactions in  $V_2O_3$  can be calculated also in the framework of LSDA+U and  $J_{\alpha}$ =31 meV (ferromagnetic),  $J_{\beta}$ =-29 meV (antiferromagnetic) and  $J_{\gamma}$ =43 meV (ferromagnetic) for the first-, second-, and third-nearest-neighbor pairs, which are consistent with observed spin alignment. The spin magnetic moment is evaluated to be  $1.9\mu_B$ . The vanadium trivalent ion is a system with electrons less than half and, therefore, the spin-orbit interaction is

negative. The resultant orbital contribution to the magnetic moment should be negative. This is also consistent with experimental observations of the total magnetic moment.

## B. Discussion on paramagnetic V<sub>2</sub>O<sub>3</sub>

In the paramagnetic case, the electronic structure calculated by LDA+U method is quite different from that in antiferromagnetic case, e.g., metallic and large bonding-antibonding splitting of  $a_{1g}$  orbital which causes the majority configuration to be  $a_{1g}e_g^\pi$ . With a larger value of U, the  $a_{1g}$  band can move to higher-energy region but, even if  $U=4.0\,$  eV, the  $a_{1g}$  band overlaps still with occupied  $e_g^\pi$  band.

The total electron-electron interaction energy can be evaluated as  $\frac{1}{3}\{2(u'_{t_{2g}}-j_{t_{2g}})+2u'_{t_{2g}}+u_{t_{2g}}\}=1.67W(0)$  $-0.67J_{\mathrm{U+GWA}}$  in the case of paramagnetic metallic configuration  $(e_g^{\pi\uparrow})^{1/3}(e_g^{\pi\downarrow})^{1/3}(e_g^{\pi\downarrow})^{1/3}(e_g^{\pi\downarrow})^{1/3}(e_g^{\pi\downarrow})^{1/3}(a_{1g}^{\uparrow})^{1/3}(a_{1g}^{\downarrow})^{1/3}$  where we assume that two electrons occupy the nondegenerate  $a_{1g}$  and doubly degenerate  $e_g^{\pi}$  bands with spin degeneracy without the Hubbard gap. Similarly we can get the energy  $\frac{1}{2}\{(u'_{t_{2g}}-j_{t_{2g}})+u'_{t_{2g}}+u_{t_{2g}}\}=1.50W(0)-0.21J_{\text{U+GWA}}$  in the case of paramagnetic metallic configuration  $(e_g^{\pi\uparrow})^{1/2}(e_g^{\pi\downarrow})^{1/2}(e_g^{\pi\downarrow})^{1/2}(e_g^{\pi\downarrow})^{1/2}$ , assuming that the  $a_{1g}$  band is lifted up and is left empty. On the contrary, if we have the Hubbard gap of  $e_g^{\pi}$  bands and  $a_{1g}$  band is empty, then we have a paramagnetic insulator configuration  $e_{g}^{\pi\uparrow}e_{g}^{\pi\downarrow}$  and the total electron-electron interaction energy can be estimated as  $u'_{t_{2}} = W(0) - 0.40 J_{U+GWA}$ . We can compare the energies of two configurations where two electrons occupy  $e_g^{\pi}$  orbitals, the energy difference is  $[1.5W(0)-0.21J_{U+GWA}]-[W(0)]$  $-0.4J_{U+GWA}$ ]=0.5W(0)+0.19 $J_{U+GWA}$ . Therefore, the latter configuration  $e_{g}^{\pi\uparrow}e_{g}^{\pi\downarrow}$  should be realized. However, the GWA and U+GWA could not create the Hubbard gap in paramagnetic phase and does not give a realistic feature of electronic structure in paramagnetic phase, both insulating and metallic phases. This is a possible explanation consistent with LDA +DMFT.<sup>27</sup> In the antiferromagnetic case, the situation changes very easily because the spin polarization can open the Coulomb gap as we have seen. Then the crystal-field splitting makes the  $a_{1g}$  band appear in the insulating gap.

#### V. SUMMARY

In summary, we proposed GWA method starting from the LSDA+U calculation, named U+GWA, with energy-only self-consistent calculation. The on-site Coulomb interaction parameter is determined so that the off-diagonal elements of the self-energy become small and we start GWA with more localized wave functions or a wider band gap. We then apply U+GWA to antiferromagnetic NiO and V<sub>2</sub>O<sub>3</sub>, where the LSDA wave functions may be more extended. The antiferromagnetic MnO may be a system to which GWA can be applied. We have given a general criterion for choosing the on-site Coulomb interaction U and the principles whether we should start with LSDA or LSDA+U. The band gap, W(0), and spectra for MnO and NiO can be evaluated with excellent agreement with the observed results. On the contrary, the spectra of V<sub>2</sub>O<sub>3</sub> is much narrower in U+GWA but the observed V d bands may be a mixture of  $e_{\rho}^{\pi}e_{\rho}^{\pi}$  and singleelectron  $e_a^{\pi}$  level.

The method of unique choice of U values has been analyzed in detail. The U values cannot be determined within the U+GWA method but with the help of analysis of the XPS and BIS spectra, one can choose a reasonable value of U and consistent physical properties can be determined which are in excellent agreement with experimental values.

### **ACKNOWLEDGMENTS**

Computation was partially carried out by use of the facilities at the Supercomputer Center, Institute for Solid State Physics, University of Tokyo, and the Institute of Molecular Science at Okazaki. This work was partially supported by a Grant-in-Aid for Scientific Research in Priority Areas "Development of New Quantum Simulators and Quantum Design" (Grant No. 170640004) of the Ministry of Education, Culture, Sports, Science, and Technology of Japan.

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